


# BAKER BOTTS LLP

Please type a plus sign (+) inside this box → 

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/868,471
Filing Date	June 15, 2001
First Named Inventor	Tseng
Group Art Unit	1742
Examiner Name	Kastler, S.
Attorney Docket Number	A32795-PCT-USA (072448.0327)

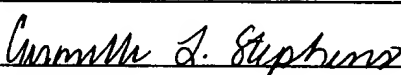
Total Number of Pages in This Submission

### ENCLOSURES (check all that apply)

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> .CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Receipt Postcard |
|---|--|--|

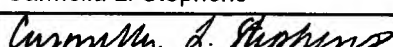
Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Carmella L. Stephens PTO Reg: 41,328
Date	February 11, 2004

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: February 11, 2004

Typed or printed name	Carmella L. Stephens
Signature	 Date
Date	February 11, 2004

**BAKER BOTTS** LLP

Attorney Docket Number: A32795-PCT-USA (072448.0327)

Title: CRUCIBLE AND SPINDLE FOR A VARIABLE SIZE DROP DEPOSITION SYSTEM

Use Space Below for Additional Information:

# BAKER BOTTS LLP

## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**475**

### Complete if Known

Application Number 09/868,471  
Filing Date June 15, 2001  
First Named Inventor Tseng  
Examiner Name Kastler, S.  
Art Unit 1742  
Attorney Docket No. A32795-PCT-USA (072448.0327)

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) <b>0</b>

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20 = 0	X 0	= 0
Multiple Dependent	- 3 = 0	X 0	= 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**0**

\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	475
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					0

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**475**

### SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Carmella L. Stephens Registration No. (Attorney/Agent) 41,328 Telephone (212) 408-2626  
Signature Carmella L. Stephens Date February 11, 2004



WEDNESDAY FEB 11 2004

185

-BOX-

NON - FEE ISSUE FEE ISSUE FEE ISSUE FEE				
	A35457	AMDT		CM
	A34166	LTR RE: CORR. DWGS		CM
	A34408	LTR RE: CORR. FML DWGS		CM
	A33914	LTR RE: CORR. FML DWGS		CM
	A35801	RESP. TO REQUEST		CM
	A31967 PCT USA-A	AMDT #1206		CM
RCE	A32011-A-PCT USA	REQ. CONTD. EXAM #385		CM
RESP NO FEE	L12423	RESPONSE		CM
RESP NO FEE	L12551	RESPONSE		CM
RESP FEE	L12580	RESP TO OA #670		CM
CERT CORR.	A35028	REQ. CONT CORR.		CM
CERT CORR.	A32773	REQ. CONT CORR.		CM
	A32795 PCT USA	AMDT #475		CM
ISSUE FEE	A33888 PCT USA	ISSUE FEE #665		CM
	A33430 PCT USA-A	AMDT #420		CM

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RHF 1-170 POL. 2 MOT ON CONSENT (ERL58923684US) IHS  
RE A33083 APPLICATION (ERL589237741US) 810 AVENTIS  
MJT 34567 PCT DEMAND (ERL589236792US) #748 STAM -ROCK  
MJT 34568 PCT DEMAND (ERL589232815US) #748 STAM -ROCK

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9200/1742 HAE

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/868,471
Filing Date	June 15, 2001
First Named Inventor	Tseng
Group Art Unit	1742
Examiner Name	Kastler, S.
Attorney Docket Number	A32795-PCT-USA (0724490327)

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☒ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
See below

Remarks



1. Copy of Amendment in Response to Office Action mailed February 11, 2004; 2. Copy of Transmittal Form mailed February 11, 2004; 3. Copy of Fee Transmittal mailed February 11, 2004; 4. Copy of Petition for Extension of Time mailed February 11, 2004; 5. Baker Botts mail log dated February 11, 2004 6. Copy of Return Receipt Postcard dated February 11, 2004

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameBakerBotts LLP  
30 Rockefeller Plaza  
New York, NY 10112

Signature

Att Name: Kimberly J. McGraw  
PTO Reg: 50,994

Date

May 12, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 12, 2004

Typed or printed name

Kimberly J. McGraw

Signature

Date

May 12, 2004

**BAKER BOTTS LLP****FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$ 0)

Complete if Known

Application Number	09/868,471
Filing Date	June 15, 2001
First Named Inventor	Tseng
Examiner Name	Kastler, S.
Group Art Unit	1742
Attorney Docket No.	A32795-PCT-USA (072448.0327)

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-4377

Deposit  
Account  
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

**SUBTOTAL (1)** (\$ 0)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	0	0	0
3**	0	0	0
Multiple Dependent			

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 0)**SUBMITTED BY**Name (Print/Type) **Kimberly J. McGraw**Registration No.  
(Attorney/Agent)

50,994

Complete (if applicable)

Telephone

Date

May 12, 2004

Signature

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TC 1700

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TO


In Re:	Tseng	09/868,471
	(Applicant)	(Serial No.)
In Re:	Amendment* February 11, 2004	A32795-PCT-USA
		(072448.0327)
	(Title of Paper) (Date)	(File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

\*Enclosed are:

- 1) Amendment in Response to Office Action (2 p. original plus 1 copy)
- 2) Transmittal (2 p.)
- 3) Fee Transmittal (1 p.);
- 4) Petition for 3 mo. Extension of Time (2 p. plus 1 copy);
- 5) Check for \$475.00; and
- 6) Return Receipt Postcard

